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Mechanisms of human drug-induced anaphylaxis

Pierre Bruhns, PhD^{1,2} and Sylvie Chollet-Martin, MD PhD^{3,4}

Authors' affiliations

¹ Unit of Antibodies in Therapy and Pathology, Institut Pasteur, UMR 1222 INSERM, F-75015 Paris, France.

² DHU FIRE, Labex Inflammex, Université Paris Diderot Paris 7, F-75018 Paris, France.

³ Department "Auto-immunité et Hypersensibilités », DMU BioGeM, APHP, Hôpital Bichat, F-75018 Paris, France.

⁴ « Inflammation, microbiome and immunosurveillance » INSERM UMR 996, Faculté de Pharmacie, Université Paris-Saclay, F-92290 Châtenay-Malabry, France.

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Correspondence to: Pierre Bruhns, Unit of Antibodies in Therapy and Pathology, Department of Immunology, Institut Pasteur, 25 rue du Docteur Roux, 75015 Paris, France. E-mail: bruhns@pasteur.fr; Sylvie Chollet-Martin, Inflammation Chimioquinas et Immunopathologie, INSERM UMR S996, Faculté de Pharmacie, Université Paris-Saclay, 92290 Châtenay-Malabry, France. E-mail: sylvie.chollet-martin@universite-paris-saclay.fr

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ABSTRACT

Drug-induced anaphylaxis is a hyperacute reaction affecting multiple organs that can be of fatal consequence. Its incidence is increasing, consistent with a global increased sensitization to various allergens and drugs in the population. Few risk factors and mechanisms have been identified from human studies due to the rarity of anaphylactic events and their unpredictability. This systemic reaction is caused by the rapid release of a large range of functionally diverse mediators, including histamine and platelet-activating factor as the main drivers identified. Mechanisms defined from models of experimental anaphylaxis identify drug-specific antibodies of the IgE and IgG class that link the drug to antibody receptors on multiple cell types, causing their activation and mediator release. In the case of drugs with peculiar chemical structures, antibodies may not be necessary as drug-binding receptors, like mas-related G-protein coupled receptor member X2, have been identified. This review describes the complex reaction leading to drug-induced anaphylaxis that can involve various antibody classes, various cell types - including mast cells, neutrophils, platelets, basophils, macrophages and monocytes -, their mediators and receptors that, importantly, can be implemented alone or in association to participate in the severity of the reaction.

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KEY WORDS

Anaphylaxis; drugs; IgE; IgG; MRGPRX2; platelet activating factor; histamine; serotonin; mast cells; basophils; neutrophils; platelets

ABBREVIATIONS USED

cPLA2: cytosolic phospholipase A2
CD32A: human activating IgG receptor FcγRIIA
FcεRI: high-affinity receptors for the Fc portion of IgE
FcγR: receptors for the Fc portion of IgG
FcγR^{null} mice: mice deficient for FcγRI, FcγRIIB, FcγRIII and FcγRIV
Fcγ^{-/-} mice: mice deficient for the Fcγ-chain, lacking all activating IgG and IgE receptors
FcRn: neonatal IgG recycling receptor
IL-4: interleukin-4
LuLISA: luciferase-linked immunosorbent assay
Mrgprb2: Mas-related G-protein coupled receptor member b2
MRGPRX2: Mas-related G-protein coupled receptor member X2
NETs: neutrophil extracellular traps
NMBA: neuromuscular blocking agents
NSAIDs: nonsteroidal anti-inflammatory drugs
PAF: platelet-activating factor
PAF-R: PAF-receptor
PAF-AH: PAF-acetyl hydrolase
PEG: polyethylene glycol

MAIN TEXT

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INTRODUCTION

90 Anaphylaxis is a hyperacute reaction that can be of fatal consequence. It is a systemic reaction
91 caused by the rapid and systemic release of a large range of functionally diverse mediators affecting
92 multiple organs. These mediators typically induce urticaria, vasodilatation, increased vascular
93 permeability and vascular leakage, edema and bronchoconstriction, leading to a drop in arterial pressure,
94 tachycardia, bronchospasm and digestive troubles. Death can be caused by the resulting cardiac failure
95 and/or asphyxia or pulmonary edema following major bronchospasm. Anaphylactic reactions cannot, in
96 general, be foreseen. Due to their life-threatening nature, they represent an emergency situation for the
97 medical staff.

98 The more recent publications describe a world incidence of anaphylaxis in humans between 50
99 and 112 episodes per 100 000 person-years, and drug allergy mortality is estimated at 0.05–0.51 per
100 million people/year¹. Interestingly, drug-induced anaphylaxis incidence is increasing, consistent with a
101 global increased sensitization to various allergens in the population, including drugs². Almost 60% of
102 fatal anaphylaxis cases have been attributed to drugs^{3, 4}. Due to their increasing availability, the
103 anaphylaxis to mAbs jumped at an average rate of 0.77% of total anaphylaxis reports per year in the
104 United States, from 2.00% in 1999 to 17.37% in 2019; it was the fastest increase observed among all
105 the drugs responsible for anaphylaxis⁵. Surprisingly, very different drugs – whether considering
106 chemical nature or structure, size, target, mode of action, biodistribution – lead to anaphylactic events
107 with similar symptoms and consequences. The most frequent culprit drugs are antibiotics (mostly
108 penicillin and cephalosporins), nonsteroidal anti-inflammatory drugs (NSAIDs), injected radiocontrast
109 agents (iodinated contrast media and gadolinium), antineoplastic drugs, therapeutic antibodies and
110 neuromuscular blocking agents (NMBA) used during surgery^{3, 4, 6}. Even more surprisingly, the size of
111 most of these compounds are 100-1,000 times smaller than “classical” allergens - linked to allergic
112 reactions to pollens, house dust mite, food allergens -, and due to this minimal size, these drugs would
113 rather qualify as haptens (Figure 1): antibiotics, *e.g.* Penicillin 334 Da; Ciprofloxacin 331 Da; NSAIDs,
114 *e.g.* Ibuprofen 206 Da, Diclofenac 296 Da; radiocontrast agents, *e.g.* Diatrizoate 613 Da; NMBA, *e.g.*
115 Suxamethonium 361 Da, Rocuronium 530 Da. Their small size, allowing them to passively diffuse

116 systemically, could be interpreted as a common feature of drugs with anaphylactic potential.
117 Nevertheless, drugs of radically larger sizes, proteins of 20-180 kDa including therapeutic antibodies,
118 *e.g.* infliximab 149 kDa, cetuximab 152 kDa, or enzymes used for enzyme replacement therapy, *e.g.*
119 glucocerebrosidase 60 kDa, and polymers 200-35,000 kDa contained in drug preparations like
120 polyethylene glycol ^{7, 8} (Figure 1) that do not diffuse passively, are also reported to cause drug
121 anaphylaxis with similar kinetics. Adding to the complexity, the route of administration of the drug
122 responsible for anaphylaxis can be multiple: oral, infused, injected (intravenous, intradermal,
123 subcutaneous, intramuscular). Concerning the inhalation route, some cases have been reported in
124 asthmatic children using inhaled corticosteroids, probably related to milk protein traces ⁹. This
125 variability in chemical nature, size, biodistribution of culprit drugs for anaphylactic events makes it
126 difficult to envision a single mechanism responsible for anaphylaxis induction.

127 Evidence of the mechanisms responsible for anaphylaxis from human studies is scarce due to
128 the rarity of anaphylaxis and its unpredictability, and thus of the very few prospective clinical studies
129 performed so far. Similarities between local allergic reactions (*e.g.* skin rashes, edema) and low-grade
130 systemic anaphylaxis has led to proposing mechanisms of allergic reactions as the basis of severe
131 anaphylactic reactions also without solid evidence to support them. Thus, clinical research in
132 anaphylaxis has mainly focused on accumulating evidence of an “allergic” mechanism, including
133 presence of certain mediators (*e.g.* histamine), enzymes (*e.g.* tryptase) and antibodies (*e.g.* IgE)
134 classically involved in local allergic reactions. If histamine, tryptase and allergen-specific IgE are rather
135 biomarkers than actual triggers of the anaphylactic reaction, which may be induced by other mechanisms
136 entirely, will be discussed herein. As an example, histamine is found at elevated levels during
137 anaphylactic reactions and proposed as the main mediator of anaphylaxis, but antihistamines do not
138 demonstrate efficacy on severe anaphylaxis symptoms. Below are summarized risk factors and evidence
139 from human studies to propose that anaphylaxis is an integration of diverse mechanisms leading to
140 systemic organ failure rather than, simply put, an extreme allergic reaction.

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142 **RISK FACTORS & EVIDENCE FROM HUMAN STUDIES**

143 Few risk factors have been identified that increase the risk of developing a drug-induced
144 anaphylactic event. Whereas sex remains a matter of debate with controversies on higher rates of drug-
145 induced anaphylaxis in women ^{3,10}, old age has been linked to both an increased risk of severe reactions
146 and a higher incidence ¹¹ with pre-existing cardiovascular morbidity being an important co-factor ⁴.
147 Surprisingly, atopy and allergic status of the patients does not appear to be convincingly related to higher
148 risk of drug-induced anaphylaxis ⁶, suggestive that different or additional mechanisms may be at play
149 in ‘systemic’ anaphylaxis compared to more ‘local’ allergic reactions. Nevertheless, patients with
150 mastocytosis, a disease characterized by the presence of high numbers of mast cells in various organs,
151 have a high occurrence of anaphylaxis ¹², suggesting of a role of mast cells – the crucial effector cell of
152 allergic reactions and inflammation ¹³– in anaphylaxis.

153 Mast cells are notorious for the ability to quickly release histamine, the major mediator
154 recognized in hypersensitivity reactions. Although antihistamines have not proven efficacious to prevent
155 or treat severe anaphylaxis, intravenous administration of histamine in volunteers has been shown to
156 reproduce most signs and symptoms of anaphylaxis, including cutaneous flushing, headache, airway
157 obstruction, and transient hemodynamic changes, mainly evidenced by systemic hypotension and
158 tachycardia ^{14,15}. Thus, histamine has the capacity to mediate the symptoms of anaphylaxis, but is clearly
159 not the sole mediator involved. Vadas *et al* indeed reported in their landmark study in 2008 that platelet-
160 activating factor (PAF) levels in serum were directly correlated, and the activity of its degrading enzyme,
161 PAF acetylhydrolase, inversely correlated, with the severity of anaphylaxis ¹⁶. Their follow-up work ¹⁷
162 reported that histamine, PAF and tryptase, the major enzyme of mast cell secretory granules already
163 identified as a biomarker for anaphylaxis ¹⁸, were all detected in serum of patients that underwent
164 anaphylaxis of low- (grade 1), mild- (grade 2) and severe (grade 3) severity. However, serum
165 concentrations of PAF and tryptase, but not histamine, correlated with anaphylaxis severity ¹⁷. PAF is
166 an extremely lipid mediator that can activate of a variety of cells that express the PAF-receptor (PAF-
167 R), including endothelium, smooth muscle, and myeloid cells including mast cells. Thus, PAF could
168 directly elicit the circulatory and respiratory symptoms of anaphylaxis while also eliciting the generation
169 of other mediators involved in anaphylaxis propagation and severity. Intracutaneous injection or
170 inhalation of PAF elicit symptoms resembling grade 1 anaphylaxis and bronchoconstriction ,

171 respectively, in human subjects ^{19, 20}. Even though deficiencies in the enzyme degrading PAF, PAF-
172 acetylhydrolase (*i.e.* leading to high levels of PAF) have been correlated with respiratory deficiencies in
173 asthmatic children ²¹, no study has linked it yet to anaphylaxis. Nevertheless PAF-acetylhydrolase
174 activity inversely correlates with anaphylaxis severity, and can be used as its marker ^{16, 22}. In contrast to
175 PAF, mast cell tryptase is not thought to elicit rapid responses that contribute to immediate manifestation
176 of anaphylaxis, although its effects are only partially described ²³. Mast cells in the vicinity of an
177 activated mast cell releasing tryptase have been reported to become activated in turn and to release
178 histamine ²⁴. Tryptase is considered mainly a ‘practical’ marker of mast cell activation as it can be easily
179 detected in serum ¹⁸. Altogether, these data suggest that among identified immediate mediators with
180 potency to be anaphylaxis inducers, PAF, rather than histamine, is the contributor of the more severe
181 forms of anaphylaxis (grade 3) and potentially of lethality (grade 4) when highly abundant systemically.
182 More explorations of anaphylactogenic mediators (e.g. leukotrienes, prostaglandins) in human drug-
183 induced anaphylaxis remains to be performed to understand fully the mechanisms leading to moderate
184 and severe symptoms, or even to lethality.

185

186 Until recently, only one pathway had been universally accepted as the mechanistic explanation
187 of anaphylaxis induction: the IgE antibody pathway. Antibodies of the IgE class are generated at in
188 small quantities by B lymphocytes. Once produced, IgE antibodies have a very short half-life in
189 circulation as they cannot be recycled by the IgG recycling receptor FcRn. Total IgE levels are thus only
190 50-200 ng/mL in healthy individuals but generally several fold higher in allergics, with patients having
191 allergen-specific IgE levels up to 200ng/mL, particularly those that experienced anaphylactic events ²⁵.
192 Direct evidence of the role of IgE in human anaphylaxis is based on transfer of purified human IgE in
193 the skin of human volunteers that transferred allergen reactivity ²⁶ and several clinical trials using the
194 anti-IgE therapeutic antibody omalizumab: one suggesting less spontaneous episodes of anaphylaxis in
195 patients with mastocytosis ²⁷, others proposing anti-IgE therapy as an adjunct therapy for allergic
196 desensitization, leading to fewer anaphylactic episodes ²⁸⁻³⁰.

197 Although the precise conditions for human B cells to start producing an IgE remain speculative
198 and extrapolated from data obtained in animal models ³¹, recent human studies found evidence that

199 allergen-specific IgE B cells arise from mature B cells producing initially an allergen-specific IgG³².
200 Although elusive, human circulating non-secreting IgE B cells, *i.e.* IgE memory B cells, as well as non-
201 circulating IgE-secreting B cells, *i.e.* IgE plasma cells, have recently been identified (in extremely low
202 numbers) in the blood and bone marrow, respectively, of allergic patients^{33,34}. Indirectly supporting a
203 role for these IgE-secreting cells located in the bone marrow in human anaphylaxis, bone marrow
204 transplantation from allergic donors to non-allergic recipients has been reported in a few cases to transfer
205 drug hypersensitivity, penicillin hypersensitivity for example (reviewed in³⁵). Although several donor
206 cell types in the allograft may contribute to the transfer of hypersensitivity, specific penicillin IgE could
207 be detected 3 months after transplant, supporting the likely importance of graft-associated IgE-
208 producing B cells³⁶. This hypothesis is further supported by the observation that transplantation of livers
209 from fatal anaphylaxis cases transferred food hypersensitivity (nuts or peanuts) to recipients with either
210 detectable specific IgE or positive skin prick test (reviewed in³⁵). Of note, IgE-producing B cells related
211 to food allergy have been identified in the gut, and arise most probably from mature B cells producing
212 initially a food allergen-specific IgA³⁷. Wherever IgE is anatomically secreted - bone marrow, liver, gut
213 - it has the unique ability to 'sensitize' human mast cells in tissue but also human basophils in blood,
214 empowering them with the ability to react to a variety of specific targets, including allergens and drugs.
215 This phenomenon, unique among antibody classes, relies on the IgE receptor FcεRI that these cells
216 express constitutively. FcεRI is of such high-affinity that once bound an IgE remains on a mast cell for
217 weeks³⁸. Upon penetration of a drug/allergen in the body, it will bind to IgE-sensitized cells, provoke
218 FcεRI aggregation on their surface, leading to cell activation, degranulation and mediator release,
219 including histamine, tryptase and PAF.

220 Although IgE may be responsible for many cases of anaphylaxis to drug or allergen, it may be
221 undetectable in others³⁹. In such cases, the terms "anaphylactoid reactions" (*i.e.* anaphylaxis-like
222 reaction) or "idiopathic anaphylaxis" (*i.e.* anaphylaxis of unclear trigger) may be applied. IgG antibodies
223 are proposed as causative agents, and can be detected in some patients who react to NMBA⁴⁰, PEG⁴¹,
224 therapeutic antibodies and other drugs (reviewed in⁴²). Although not proven, IgG antibodies could
225 trigger activation of macrophages and other cells bearing Fcγ receptors, either directly inducing

226 anaphylaxis or acting in concert with IgE having the same specificity. Additionally, anaphylaxis-like
227 reactions to certain drugs may be caused by direct interaction with Mas-related G-protein coupled
228 receptor member X2 (MRGPRX2),^{43,44} which is expressed at high level in primary human skin and
229 synovial mast cells, but not in primary lung mast cells⁴⁵. Many drugs capable of directly inducing
230 histamine release can bind and activate MRGPRX2⁴⁶ (Figure 2).

231

232 **MECHANISMS IDENTIFIED IN EXPERIMENTAL ANAPHYLAXIS**

233 Most animal models of systemic anaphylaxis are directly relevant to drug-induced anaphylaxis
234 as they are based on the injection of a bolus of allergen/antigen/drug into a sensitized animal. Two main
235 types of models are used. In passive systemic anaphylaxis, naive animals are directly injected with an
236 anaphylactogenic mediator (e.g. histamine, PAF) or with antibodies thought to be responsible for
237 anaphylaxis induction followed by a challenge with an allergen/antigen/drug in the next hours or days.
238 In active systemic anaphylaxis, animals are exposed to low doses of an allergen/antigen/drug to induce
239 an antibody response against that molecule followed by a challenge several weeks later. In the latter
240 case, initial exposures can be performed in the presence or absence of an adjuvant. Surprisingly, models
241 of active sensitization reveal that the presence or absence of adjuvant influences the principal
242 mechanisms leading to anaphylaxis induction⁴⁷⁻⁴⁹. Thus, that each animal model of anaphylaxis, even
243 each experimental protocol thereof, will draw a different picture of what pathways of anaphylaxis in
244 humans may be (discussed in⁴²). Even though data may be considered conflicting between studies,
245 animal models have provided an enlightened understanding of the multiple mechanisms at play that are,
246 in our view, the current basis of human anaphylaxis exploration and dogma: antibodies of the IgE and/or
247 IgG class to the culprit drug triggering multiple cell types through activating antibody receptors, or mast
248 cell activating receptors directly triggered by some drugs, notably Mas-related G-protein coupled
249 receptor member b2 (Mrgprb2), the mouse ortholog of human MRGPRX2.

250 *Passive systemic anaphylaxis.* Injection of histamine or PAF in mice leads to symptoms
251 resembling systemic anaphylaxis that are dependent on the presence of histamine receptors or PAF
252 receptor (PAF-R), respectively⁵⁰. Injection of allergen-specific IgE or allergen-specific IgG followed
253 by allergen challenge (generally intravenous injection) hours to days later provokes systemic, sometimes

254 fatal, anaphylaxis that requires expression of FcεRI or IgG receptors (FcγR), respectively (reviewed in
255 ⁴⁷ and ⁴⁸). Both IgE and IgG receptors require cross-linking to trigger cell activation, implying that
256 multiple IgE or IgG molecules need to bind the same drug molecule, or that the drug has been haptenized
257 onto a carrier molecule to allow multimeric interactions. Among mouse IgG subclasses allergen-specific
258 IgG2a and IgG2b are potent inducers, whereas IgG1 is weak ⁵¹, in line with its preferential binding to
259 inhibitory mouse FcγR ⁵² and its rather anti-inflammatory role in mice ⁵³. Ciprofloxacin, an antibiotic of
260 the fluoroquinolone family, can induce antibody independent anaphylaxis in mice. Mice lacking
261 Mrgprb2 (the mouse orthologue of MRGPRX2) are protected from ciprofloxacin-induced anaphylaxis
262 ⁴⁴. As is the case for MRGPRX2 in humans, Mrgprb2 in mice is expressed almost exclusively on mast
263 cells and is since considered a direct target of several drugs belonging to fluoroquinolones, NMBA (*e.g.*
264 atracurium, rocuronium) chemical classes and cationic peptides ⁴³. A novel mouse model allowing for
265 the development of human mast cells expressing MRGPRX2 reported local mast cell degranulation after
266 exposure to contrast agents, but did not investigate systemic reactions ⁵⁴. Altogether passive models of
267 anaphylaxis validate antibody classes IgE and IgG and their receptors, Mrgprb2 and mediators histamine
268 and PAF as potential inducers of anaphylaxis in simplified models (Figure 2), but are not able to rank
269 them or discriminate among them for their relevance in human anaphylaxis.

270 *Active systemic anaphylaxis.* Mice sensitized with allergen in the presence of adjuvants show
271 detectable IgE and IgG specific for the allergen, and develop anaphylaxis upon allergen challenge
272 (intravenous, gavage) with severity increasing with higher doses of allergen. Surprisingly, IgE-deficient
273 or FcεRI-deficient mice were protected from some active anaphylaxis models, but not others,
274 demonstrating that the ‘IgE pathway’ is not necessary in some models active systemic anaphylaxis
275 (reviewed in ⁴⁸, ⁴² and ⁵⁵). In contrast, mice lacking all activating IgG and IgE receptors (FcRγ^{-/-} mice)
276 were resistant to anaphylaxis, as well as mice lacking only IgG receptors (FcγR^{null}) ⁵⁶⁻⁵⁸. Transgenic
277 expression in FcγR^{null} mice of a single ⁵⁹ or of multiple human FcγR ^{57, 58} restored anaphylaxis,
278 demonstrating the requirement of the ‘IgG pathway’ in severe active systemic anaphylaxis. Even though
279 convincing animal studies on the potential contribution of the complement system to anaphylaxis are
280 still lacking (discussed in ⁴²), some compounds trigger complement component C3a production leading

281 to myeloid cell activation through their complement receptors and, thus, to PAF and histamine release
282 ⁶⁰. Mice deficient in either PAF-R or cytosolic phospholipase A2 (cPLA2) that is required for PAF
283 generation (and, in the case of cPLA2, leukotriene and prostaglandin generation) had markedly reduced
284 anaphylaxis symptoms ^{50, 56}. PAF-R antagonists consistently strongly inhibited anaphylaxis symptoms
285 and protected from lethality in different mouse models of active anaphylaxis, whereas antihistamines
286 had moderate to negligible effects, unless in concert with PAF-R antagonists ^{49, 51, 56, 61, 62}. Depending on
287 the active systemic anaphylaxis model and/or the mouse strain used, tissue-resident mast cells and
288 macrophages, and circulating basophils, monocytes and neutrophils have all been convincingly reported
289 to be main (reviewed in ^{48, 42} and ⁵⁵). More recently platelets were added to this list through two
290 independent reports using human activating IgG receptor FcγRIIA (CD32A) transgenic mice, proposing
291 that platelets release pathogenic serotonin in response to FcγRIIA triggering on their surface by
292 circulating IgG-antigen/allergen immune complexes (Figure 2) ^{58, 63} that form following exposure to
293 high amounts of antigen/allergen as is mostly the case in drug anaphylaxis. The abundance and systemic
294 distribution of platelets suggests a plausible role in anaphylaxis. Because humans and non-human
295 primates, but not rodents, express FcγRIIA ⁵², the potential contribution of platelets in anaphylaxis
296 models may be missed in mice lacking transgenic expression of FcγRIIA.

297

298 While animal studies suggest a diverse range of initiating pathways for anaphylaxis,
299 demonstrating the contribution of each pathway in severe human anaphylaxis is challenging, since
300 sampling of blood is typically undertaken after the reaction has occurred. Nevertheless, markers have
301 been proposed to confirm the engagement of the IgE pathway (increase in interleukin-4 (IL-4) and
302 soluble IL-4 receptor levels) and/or the IgG pathway (decrease in FcγR expression) ⁶⁴, which have been
303 reported to occur simultaneously in a mouse model of fatal anaphylaxis ⁶¹. Our group demonstrated the
304 reduced FcγR expression was a marker of the IgG pathway in passive and active mouse models of
305 anaphylaxis ^{51, 57} and in our recent clinical study on NMBA-induced anaphylaxis (described in the next
306 section) ⁴⁰. The diverse range of candidate effector cell types identified in animal studies makes their
307 relative contribution difficult to comprehend in humans. The contributions from different cell types are

308 likely influenced by cell numbers, their capacities for activation, and the abundance of mediators
309 generated per cell. Among circulating cells, platelets (150,000-450,000/ μ L) are ~70, ~700 and
310 ~100,000-fold more abundant than neutrophils (2,500-6,000/ μ L), monocytes (200-600/ μ L) and
311 basophils (1-3/ μ L). How these compare to mast cell and macrophage numbers is unclear as both cell
312 types reside in various human tissues in which they differentiate into different subpopulations expressing
313 different enzymes, receptors (including different IgG receptors and levels of MRGPRX2 for mast cells)
314 and mediators^{65, 66}. Skin mast cell densities in humans vary depending on the anatomical location⁶⁷.
315 Considering numbers only, platelets and neutrophils would probably largely dominate over mast cells
316 and macrophages, whereas mast cells and basophils would likely be the dominant cell types activated
317 through IgE and MRGPRX2-dependent pathways. Considering the high levels of specific IgG necessary
318 for generating immune complexes with their target drug to trigger Fc γ Rs, compared to few specific IgE-
319 bound Fc ϵ RI on sensitized mast cells and basophils necessary to trigger their activation (Figure 2), IgE
320 would largely dominate over IgG⁶⁸. These considerations apply even more in anaphylaxis to ingested
321 drugs/antigens that require the compound to reach circulation, as only a small fraction of the ingested
322 compound is absorbed^{69, 70}. Solving this equation is next to impossible, but informs clinicians of the
323 possibility that one, two or even three different pathways, involving different antibody classes (or none),
324 different receptors and different cell types in circulation and tissue resident, may be at play
325 simultaneously in a drug-induced severe anaphylactic reaction. This next section will propose a
326 clinician's view on drug-induced anaphylaxis, taking NMBA-hypersensitivity as an example.

327

328 **NMBA-INDUCED ANAPHYLAXIS EXEMPLIFIES MULTIPLE MECHANISMS AT PLAY**

329 As emphasized above with the animal models, the possible mechanisms leading to anaphylaxis
330 in human begin to be better understood, as more and more actors are evidenced at the cellular or soluble
331 levels that can interact and define complex endotypes. The example we chose to describe in this section
332 in detail is NMBA-induced anaphylaxis during the perioperative period as it may be representative of
333 drug-induced severe anaphylaxis implicating several pathways that synergize to increase severity.

334 The incidence of perioperative anaphylaxis varies between the geographical locations with rates
335 of 1 in 10,000-20,000 anesthesia procedures. For the 2011-2012 period, in 714 patients who experienced
336 perioperative anaphylaxis in France, the most common cause was NMBA administration (60%)⁷¹. The
337 classical IgE-dependent mechanism that involves basophil and mast cell degranulation has been clearly
338 documented in various studies for several years, mainly using the morphine quaternary ammonium (QA)
339 as a surrogate epitope of antibody responses to NMBA, even if one can be critical on this laboratory
340 reagent, the only one commercially available so far⁷². Interestingly, the historical hapten concept has
341 been recently revisited by its author, Dr Werner J Pichler, who postulates now that in the minutes
342 following the re-exposure to a drug, a massive mast cell degranulation occurs in response to IgE cross-
343 linking by non-covalent drug-carrier complex called “fake antigen”⁷³. However, concerning NMBAs,
344 the absence of any sign of IgE-dependent immune activation despite evident clinical anaphylaxis in 10-
345 20% of patients led us to test the hypothesis of an IgG-induced neutrophil activation, as we previously
346 described in mouse models⁵⁶.

347 We prospectively conducted a multicenter study of 86 patients with suspected anaphylaxis to
348 NMBAs during general anesthesia and 86 matched controls (age, sex, drug, type of surgery)⁴⁰. We
349 found that circulating anti-QA IgE was undetectable in a large percentage of the patients, whereas anti-
350 QA IgG levels were significantly increased as compared to matched controls. Moreover, both anti-QA
351 IgE and IgG levels correlated with anaphylaxis severity. We then found that down-regulation of FcγRs
352 (CD32A, CD16) at the neutrophil surface was also associated with reaction severity, suggesting that
353 anti-QA specific IgG formed immune complexes with NMBA to rapidly activate circulating neutrophils.
354 This was further supported by increased neutrophil expression of CD11b and CD66b, elevated
355 circulating levels of degranulated elastase and decreased PAF-acetyl hydrolase (PAF-AH) activity
356 related to PAF secretion. Moreover, high levels of neutrophil extracellular traps (NETs), detected as
357 DNA-myeloperoxidase complexes, were found in severe patients as compared to mild patients and to
358 controls. Altogether, using a large panel of neutrophil activation markers, we could confirm that, in
359 human, an IgG-dependent neutrophil activation occurs during NMBA anaphylaxis with, or
360 independently of, IgE-dependent mast cell/basophil activation (Figure 3).

361 Supporting anti-NMBA IgG contribution to anaphylaxis and our finding that IgG receptor
362 CD32A-expressing platelets can induce anaphylaxis in animal models, platelet activation in the same
363 patient cohort suffering from NMBA anaphylaxis was associated with anaphylaxis severity and was
364 accompanied by a reduction in circulating platelet numbers⁵⁸. In order to better document IgG-mediated
365 mechanisms in anaphylaxis, we isolated rocuronium-specific IgG from one patient and found that they
366 could form immune complexes with rocuronium that could in turn activate neutrophils isolated from
367 healthy controls, as evidenced by the activation of oxidative burst and NET release (Figure 3). These
368 results reconcile clinical and experimental data on the role of IgE and IgG during anaphylaxis and can
369 modify our biological diagnostic approaches to NMBA-induced anaphylaxis, even if skin tests remain
370 major tools for IgE-mediated reactions. Indeed, we can now suggest to implement the classical
371 biological evaluation of suspected NMBA anaphylaxis^{74,75} by exploring both IgE- basophil and IgG-
372 neutrophil pathways.

373 Exploration of NMBA-induced anaphylaxis for the determination of specific IgEs against QA
374 (as a surrogate epitope of NMBAs) and against each independent NMBA (rocuronium, atracurium,
375 suxamethonium) can be made using commercial (ImmunoCAP, ThermoFisher) or home-made
376 techniques, but the specificity is not optimal, false positivity and numerous cross-reactivities are
377 observed⁷⁶. The calculation of specific to total IgE ratio did not improve the biological diagnosis of
378 rocuronium allergy⁷⁷. Sensitization to NMBAs might originate from exposure to other drugs or
379 compounds that contain also a QA epitope, like pholcodine (a morphine derivative contained in anti-
380 cough medications): indeed, anti-pholcodine IgE can be detected in NMBA hypersensitive patients⁷⁸.
381 Altogether these recent studies emphasize the difficulties of correctly quantifying the circulating anti-
382 NMBA IgEs. New methods are needed and we can assume that the recent luciferase-linked
383 immunosorbent assay (Lu-LISA) that demonstrated 10-100-fold better sensitivity than ImmunoCAP for
384 peanut allergen-specific IgE could be adapted to other allergens and drugs including NMBA-specific
385 IgE detection, providing perhaps enhanced sensitivity and specificity⁷⁹. In addition to anti-NMBA
386 specific IgE, we now recommend, using similar techniques, to assay anti-NMBA specific IgG to
387 increase the understanding of our clinical findings reported in 2019⁴⁰.

388 Assays to detect soluble mediators can be used to invoke mechanisms involved in anaphylaxis.
389 Histamine and tryptase measurements, routinely used to confirm an anaphylactic reaction, reflect the
390 activation of mast cells and, in the case of histamine, basophils. An elevated level of serum tryptase
391 remains one of the very best markers of anaphylaxis. However, the level of tryptase at baseline (after
392 resolution of the anaphylactic reaction) is required to calculate the acute tryptase levels using the
393 following algorithm: tryptase levels are acute if $>[1.2 \times \text{baseline tryptase}] + 2 \mu\text{g/L}$ ⁸⁰. Baseline levels of
394 tryptase are elevated in patients with mastocytosis (reflecting increased mast cell burden), and are
395 associated with an increased risk of recurrent perioperative anaphylaxis ⁸¹. As noted previously, human
396 mast cells strongly express MRGPRX2 (which is also expressed more weakly by basophils in humans
397 ⁸²). MRGPRX2 can directly bind a number of drugs leading to mast cell activation and mediator release
398 such as tryptase ⁴⁴, meaning that elevated circulating tryptase levels at the initial phase of anaphylaxis
399 can thus be generated by IgE- or MRGPRX2-dependent mast cell activation, or both. Initially the list
400 of drugs activating MRGPRX2 included NMBA's atracurium and rocuronium among others, but
401 conflicting results have placed this assumption under debate ^{83, 84}. The ability and importance of
402 rocuronium-induced MRGPRX2 activation is under evaluation, investigating effects of MRGPRX2
403 mutations ^{85, 86}.

404 Both blood basophils and neutrophils can be studied *ex vivo* in the patients in order to improve
405 diagnosis. The basophil activation test (BAT) is a useful tool to document NMBA anaphylaxis ⁸⁷ that
406 needs to be performed 4-6 weeks after the episode. This flow cytometry-based *ex vivo* assay can be
407 adapted to other NMBA's ⁸⁸. The versatility of BAT testing may make it an increasingly utilized tool in
408 the diagnosis of NMBA-induced anaphylaxis. Additionally, elastase levels (using ELISA) and DNA-
409 MPO levels (markers of increased netosis) may be useful for detecting neutrophil activation during
410 anaphylaxis (Figure 3) ⁸⁹. We can propose that a simple phenotypic study such as CD11b and CD66b
411 expression, monitored over the course of a clinical reaction in parallel with tryptase, can document a
412 potential specific anti-NMBA IgG-induced neutrophil activation at the time of the reaction in case of
413 the presence of specific IgG.

414

415 **CONCLUSION AND THERAPEUTIC AVENUES**

416 Drug-induced anaphylaxis is: 1) a very severe clinical reaction that needs to be rapidly and
417 extensively documented and diagnosed by adequate biological tools, 2) a complex reaction that can
418 involve various cell types, mediators, receptors and intracellular pathways that can be activated alone
419 or in association (Figure 2); NMBA-induced anaphylaxis being an ideal example.

420 Recent human clinical data proposed even further possible mechanisms in addition to
421 MRGPRX2, exemplified by the role of contact system via factor XII activation and bradykinin release
422 in some penicillin-induced anaphylaxis ⁹⁰, or after heparin injection and severe hypotension due to
423 oversulfated chondroitin sulfate contamination ⁹¹. Explorations outside of basophil activation, *i.e.*
424 neutrophil, platelet and monocyte activation, and of anti-drug IgE, *i.e.* presence of anti-drug IgG ^{40, 41},
425 ⁹², should increase in clinical research to improve our understanding of anaphylaxis and define markers
426 for its endotypes. Because many anaphylactic reactions to drugs happen at first exposure, identifying
427 potential cross-reactivities is of major importance to discourage use of some drugs in potentially
428 susceptible patients; hypersensitivity to the oligosaccharide alpha-gal as a consequence of tick bites
429 leading to cetuximab anaphylaxis is a good example ⁹³. In contrast, sensitization to some cereal and
430 peach allergens (Lipid Transfer Protein) are high risk factors to NSAIDs anaphylaxis, without any cross-
431 reactivity identified ⁹⁴.

432 The first line treatment of any type of anaphylaxis, whatever the mechanism, is adrenaline
433 (epinephrine). As far as therapeutic tools are concerned, the avoidance of the drug is the only efficient
434 action when possible. If not possible, anaphylaxis might be prevented by pre-treating patients with the
435 anti-IgE antibody omalizumab as it is known to be useful in drug desensitization ⁹⁵. Anti-drug therapy
436 to prevent IgE engagement might also be considered: allergen desensitization by anti-cat allergen
437 antibody therapy has indeed been reported already ⁹⁶, and might be transposed to drugs ⁹⁷. Anti-drug
438 therapy to capture the drug remains a poorly explored avenue to remove quickly the culprit drug and
439 thereby arrest the ongoing anaphylactic reaction: attempts have been described in NMBA-induced
440 anaphylaxis ⁹⁸ due to the existence of a rocuronium and vecuronium capture reagent, sugammadex, but
441 remains debated ⁹⁹⁻¹⁰¹. Unfortunately, a significant number of (IgE-mediated) sugammadex-induced
442 anaphylactic reactions have been described ¹⁰²⁻¹⁰⁴, making this particular therapeutic compound non
443 ideal to explore drug capture as a therapy for drug-induced anaphylaxis. Novel anti-drug therapies need

444 to be developed to understand the potential of drug capture to reduce anaphylaxis severity or even to
445 stop an ongoing anaphylactic reaction.

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447

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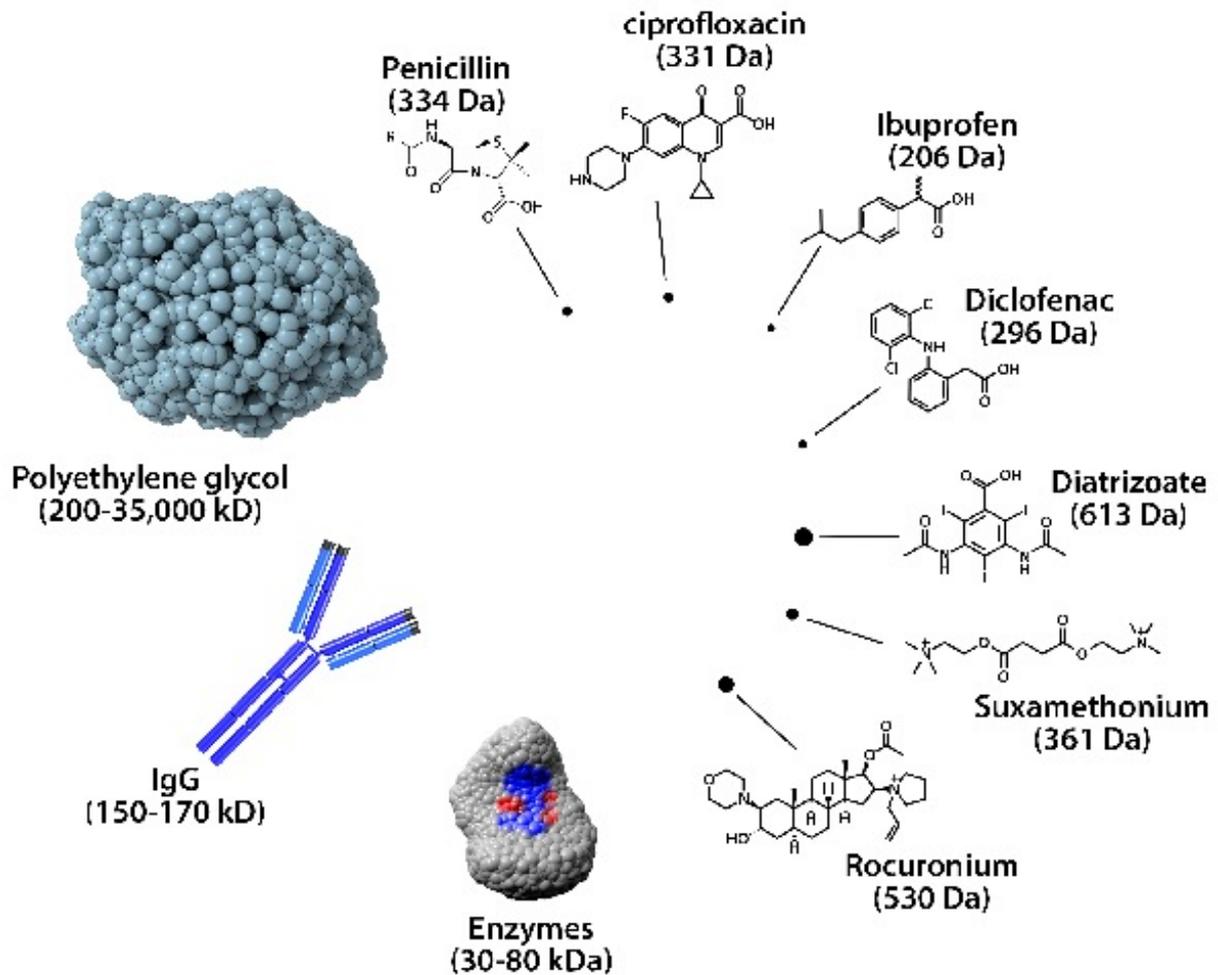
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FIGURES

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743 **Figure 1. Relative drug sizes implicated in drug-induced anaphylaxis: size does not**

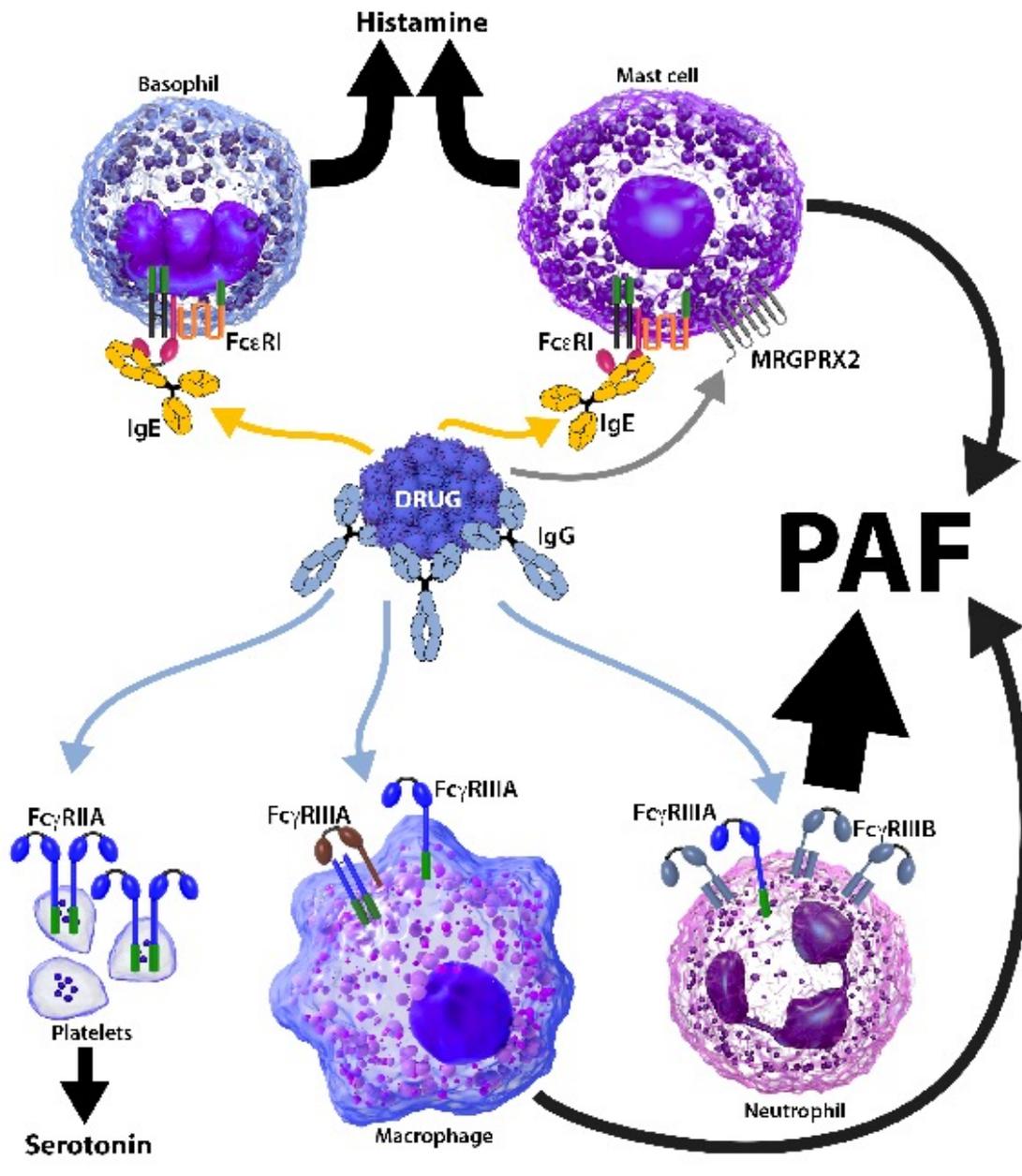
744 **matter.** Schematic representations of poly ethylene glycol (Image Credit:

745 StudioMolekuul/Shutterstock.com), IgG, enzyme (Image credit; [PDB 9LYZ](#)), and chemical

746 structures of indicated drugs and contrast agents. Dots represent the relative size of the depicted

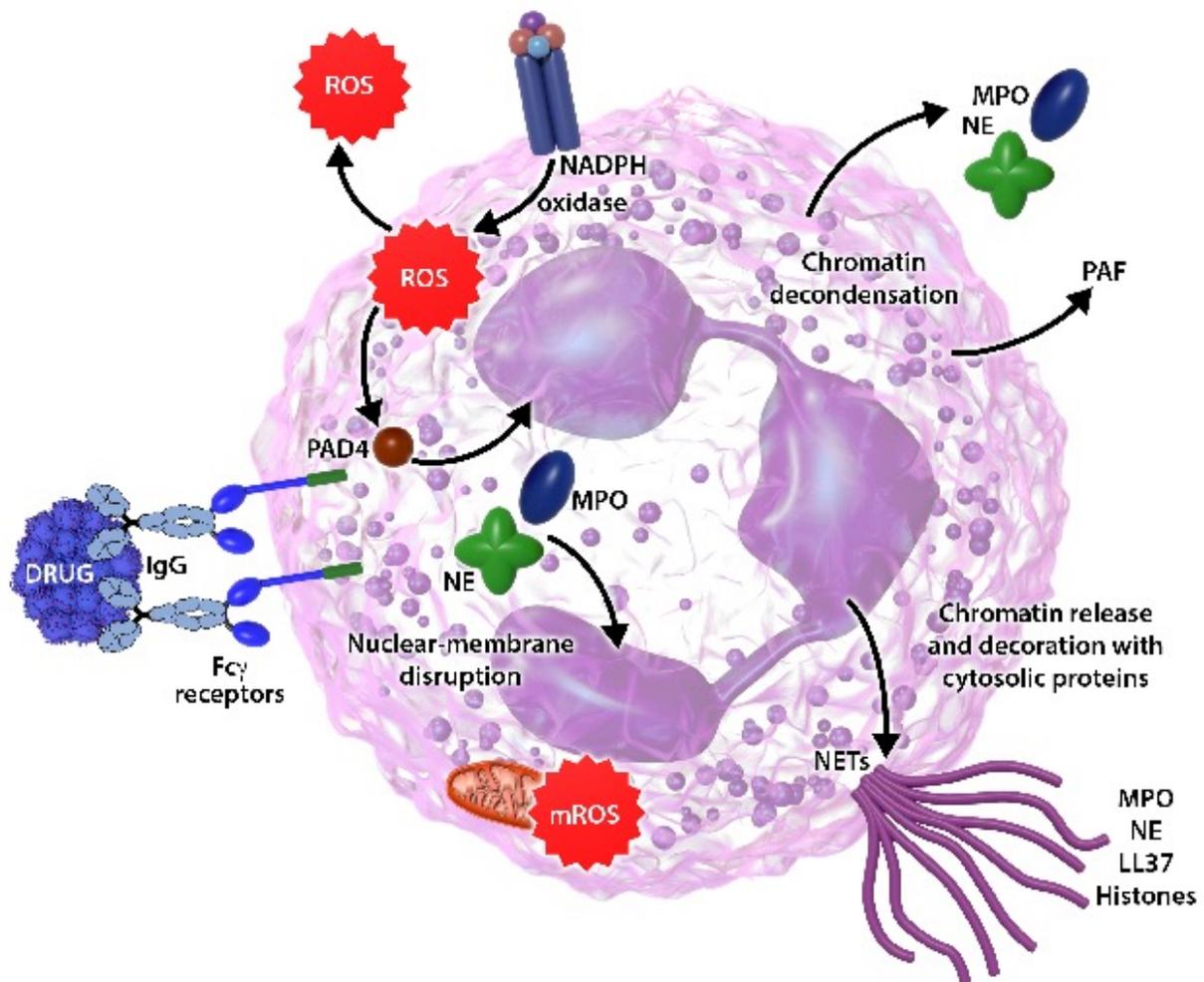
747 small molecules (<1 kDa) compared to those of PEG, IgG and enzymes.

748



750

751 **Figure 2. Potential pathways in drug-induced anaphylaxis.** Once administrated a drug can
 752 be bound by (a) drug-specific IgE antibodies, pre-bound on their high-affinity IgE receptor
 753 (FcεRI)-expressing mast cells and basophils, leading to their release of anaphylactogenic
 754 mediators, histamine and to some extent PAF (*Note: human mast cells are thought to make little*
 755 *or no serotonin*); (b) drug-specific IgG antibodies, forming drug-IgG immune complexes that
 756 can bind to their low-affinity IgG receptor (FcγR)-expressing neutrophils (e.g. FcγRIIA and
 757 FcγRIIB) and monocyte/macrophages (e.g. FcγRIIA and FcγRIIA), leading to their release of
 758 PAF, and to FcγRIIA-platelets leading to their release of serotonin; (c) mast cell-expressed
 759 MRGPRX2 if that drug has affinity for this receptor, leading to mast cell degranulation and
 760 histamine and PAF release. The thickness of the green-colored arrows represent their
 761 contribution to the indicated mediator release.



763

764 **Figure 3. Mechanisms of IgG-induced neutrophil activation during drug anaphylaxis.** The
 765 classical and historical pathway of anaphylaxis is based on mediator release by mast cells and
 766 basophils activated by the engagement of FcεRI after their interaction with a drug/anti-drug IgE
 767 immune complex (IC). A second pathway was recently demonstrated both in mice and human.
 768 The drug can react with specific IgG and form an IC that binds to several FcγRs at the neutrophil
 769 surface and activate the cell. In addition to reactive oxygen species (ROS) and proteases release
 770 such as neutrophil elastase (NE) and myeloperoxidase (MPO), neutrophils release platelet
 771 activating factor (PAF) and neutrophil extracellular traps (NETs), also involved in anaphylaxis
 772 clinical manifestations. The release of NETs is the consequence of ROS production, in
 773 particular due to mitochondrial-derived ROS (mROS) production and peptidyl arginase
 774 deiminase 4 (PAD4) activation leading to chromatin decondensation, nuclear membrane
 775 disruption and chromatin extracellular release.
 776